Binary Relations II

Outline for Today

Proofs Involving Binary Relations

- Equivalence Relation Proofs
- An Alternate Perspective on Partitions
- Proofs Involving Multiple Relations

Recap from Last Time

Reflexivity



Symmetry



 $\forall a \in A. \forall b \in A. (aRb \rightarrow bRa)$ ("If a is related to b, then b is related to a.")

Transitivity



 $\forall a \in A. \forall b \in A. \forall c \in A. (aRb \land bRc \rightarrow aRc)$ ("Whenever a is related to b and b is related to c, we know a is related to c.)

Equivalence Relations

An *equivalence relation* is a relation that is reflexive, symmetric and transitive. Some examples:

- x = y
- $x \equiv_k y$
- *x* has the same color as *y*
- *x* has the same shape as *y*.

Irreflexivity



Asymmetry



 $\forall a \in A. \forall b \in A. (aRb \rightarrow bRa)$ ("If a relates to b, then b does not relate to a.")

Strict Orders

A *strict order* is a relation that is irreflexive, asymmetric and transitive. Some examples:

x < y.

a can run faster than *b*. $A \subsetneq B$ (that is, $A \subseteq B$ and $A \neq B$).

Let's do some proofs!

Equivalence Relation Proofs

- Let's suppose you've found a binary relation *R* over a set *A* and want to prove that it's an equivalence relation.
- How exactly would you go about doing this?

An Example Relation

Consider the binary relation ~ defined over the set \mathbb{Z} : $a \sim b$ if a+b is even

Some examples:

0~4 1~9 2~6 5~5

Turns out, this is an equivalence relation! Let's see how to prove it.

We can define binary relations by giving a rule, like this: *a~b* if some property of a and b holds
This is the general template for defining a relation.
Although we're using "if" rather than "iff" here, the two above statements are definitionally equivalent. For a variety of reasons, definitions are often introduced with "if" rather than "iff." Check the "Mathematical Vocabulary" handout for details.

What properties must ~ have to be an equivalence relation?

Reflexivity Symmetry Transitivity

Let's prove each property independently.

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So there is an integer r, namely k+m-b, such that a+c = 2r. Thus a+c is even, so $a \sim c$, as required.

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An Observation

Lemma 1: The binary relation ~ is reflexive.

Proof: Consider an arbitrary $a \in \mathbb{Z}$. We need to prove that $a \sim a$. From the definition of the \sim relation, this means that we need to prove that a+a is even.

To see this, notice that a+a = 2a, so the sum a+a can be written as 2k for some integer k (namely, a), so a+a is even. Therefore, $a \sim a$ holds, as required.

The formal definition of reflexivity is given in first-order logic, but this proof does not contain any first-order logic symbols!

Lemma 2: The binary relation ~ is symmetric.

Proof: Consider any integers *a* and *b* where $a \sim b$. We need to show that $b \sim a$.

Since $a \sim b$, we know that a+b is even. Because a+b = b+a, this means that b+a is even. Since b+a is even, we know that $b \sim a$, as required.

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First-Order Logic and Proofs

- First-order logic is an excellent tool for giving formal definitions to key terms.
- While first-order logic *guides* the structure of proofs, it is *exceedingly rare* to see first-order logic in written proofs.
- Follow the example of these proofs:
- Use the FOL definitions to determine what to assume and what to prove.
- Write the proof in plain English using the conventions we set up in the first week of the class.
- Please, please, please, please, please internalize the contents of this slide!

Another Perspective on Partitions

The question we are asking the sage: "Are these two in the same equivalence class?"



$aRb \wedge bRc \rightarrow cRa$

 $\forall a \in A. \ \forall b \in A. \ \forall c \in A. \ (aRb \land bRc \rightarrow cRa)$



 $\forall a \in A. \forall b \in A. \forall c \in A. (aRb \land bRc \rightarrow cRa)$

Theorem: A binary relation *R* over a set *A* is an equivalence relation if and only if it is reflexive and cyclic.

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What We're Assuming

- *R* is an equivalence relation.
- *R* is reflexive.
- *R* is symmetric.
- *R* is transitive.

What We Need To Show

- *R* is reflexive.
- *R* is cyclic.

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• If *aRb* and *bRc*, then *cRa*.

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Proof:

- **Lemma 1:** If R is an equivalence relation over a set A, then R is reflexive and cyclic.
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To prove that *R* is cyclic, consider any arbitrary *a*, *b*, $c \in A$ where *aRb* and *bRc*. We need to prove that *cRa* holds. Since *R* is transitive, from *aRb* and *bRc* we see that *aRc*. Then, since *R* is symmetric, from *aRc* we see that *cRa*, which is what we needed to prove.

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Although this proof is deeply informed by the first-order definitions, notice that there is no first-order logic notation anywhere in the proof. That's normal – it's actually quite rare to see first-order logic in written proofs.

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Lemma 2: If R is a binary relation over a set A that is reflexive and cyclic, then R is an equivalence relation.

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- *R* is reflexive.
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- $xRy \wedge yRz \rightarrow zRx$

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- $xRy \rightarrow yRx$

- *R* is transitive.
- If *aRb* and *bRc*, then *aRc*.


Proof: Let *R* be an arbitrary binary relation over a set *A* that is cyclic and reflexive. We need to prove that *R* is an equivalence relation. To do so, we need to show that *R* is reflexive, symmetric, and transitive.

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Refining Your Proofwriting

- When writing proofs about terms with formal definitions, you must call back to those definitions.
- Use the first-order definition to see what you'll assume and what you'll need to prove.
- When writing proofs about terms with formal definitions, you must not include any first-order logic in your proofs.
- Although you won't use any FOL *notation* in your proofs, your proof implicitly calls back to the FOL definitions.
- You'll get a lot of practice with this on Problem Set Three. If you have any questions about how to do this properly, please feel free to ask on Piazza or stop by office hours!

Let's take a five minute break!

Communication Methods

Campuswire Posts > Staff Email > Personal Email

Proofs Involving Multiple Relations

$xR^{-1}y$ if yRx

Prove or disprove: if R is an equivalence relation over A, then R^{-1} is an equivalence relation over A.

$$xR^{-1}y$$
 if yRx

Prove or disprove: if R is an equivalence relation over A, then R^{-1} is an equivalence relation over A.

Before we attempt the prove/disprove, when it's a good idea to *apply new definitions to a concrete example* and make sure we fully understand what the definition means.

$xR^{-1}y$ if yRx

Prove or disprove: if R is an equivalence relation over A, then R^{-1} is an equivalence relation over A.

What is the inverse of the < relation over \mathbb{Z} ?

What is the inverse of the = relation over \mathbb{Z} ?

Discuss with your neighbors!

$$xR^{-1}y$$
 if yRx

Prove or disprove: if R is an equivalence relation over A, then R^{-1} is an equivalence relation over A.

What is the inverse of the < relation over \mathbb{Z} ?

The inverse of the < relation over \mathbb{Z} is the > relation over \mathbb{Z} . This is because x < y happens precisely when y > x.

What is the inverse of the = relation over \mathbb{Z} ?

The = relation over \mathbb{Z} is its own inverse. Note that x = y happens precisely when y = x happens.

$xR^{-1}y$ if yRx

Prove or disprove: if R is an equivalence relation over A, then R^{-1} is an equivalence relation over A.

A good strategy for "prove or disprove" questions is to just try doing both a proof and a disproof.

If you find yourself having a hard time proving the claim, identifying why can often help you come up with a disproof and vice versa.

$xR^{-1}y$ if yRx

Prove or disprove: if R is an equivalence relation over A, then R^{-1} is an equivalence relation over A.

How would you set up a proof of this claim?

How would you set up a disproof of this claim?

$xR^{-1}y$ if yRx

Prove or disprove: if R is an equivalence relation over A, then R^{-1} is an equivalence relation over A.

How would you set up a proof of this claim?

For an arbitrary relation *R*, assume that *R* is an equivalence relation, then show that *R*⁻¹ also has to be an equivalence relation.

How would you set up a disproof of this claim?

Find a specific example of a relation R such that R is an equivalence relation but R⁻¹ is not.

What We're Assuming

R is an equivalence relation

What We Need To Show

R⁻¹ is an equivalence relation

Relevant Definitions

 $xR^{-1}y$ if yRx

What We're Assuming

R is an equivalence relation

- R is reflexive
- R is symmetric
- R is transitive

What We Need To Show

R⁻¹ is an equivalence relation

- R⁻¹ is reflexive
- R⁻¹ is symmetric
- R⁻¹ is transitive

Relevant Definitions

 $xR^{-1}y$ if yRx

A great proofwriting strategy is to **draw pictures** – it's often easier to reason about concrete circles, lines, and arrows than abstract mathematical definitions.



We'll use a *red arrow* to denote that x**R**y



And a **blue arrow** to denote that $xR^{-1}y$

Assumptions:

R is reflexive $\forall x \in A. x \mathbb{R}x$



We can always draw a red self-loop

Assumptions:



If there's a red arrow in one direction, we can draw one in the other direction

Assumptions:



If there's a red arrow in one direction, we can draw one in the other direction

If you can get somewhere by following red arrows, you can draw a red arrow directly there

x**R**⁻¹y if y**R**x

When can we draw a blue arrow?





x**R**⁻¹y if y**R**x

When can we draw a blue arrow?

If there's a red arrow going one way



Then we can draw a blue arrow going the other way



Goal:

R⁻¹ is reflexive $\forall x \in A$. *x***R**⁻¹*x*

We want to always be able to draw a blue self-loop





Goal:

R⁻¹ is reflexive $\forall x \in A$. *x***R**⁻¹*x*

We want to always be able to draw a blue self-loop





Goal:





Since there's a red arrow going from x to x, we can draw a blue arrow going "the other way", from x to x



Goal:

R⁻¹ is symmetric $\forall x \in A. \forall y \in A.$ $(xR^{-1}y \rightarrow yR^{-1}x)$

We want to say that if there's a blue arrow in one direction, we can draw one in the other direction





Goal:

R⁻¹ is symmetric $\forall x \in A. \forall y \in A.$ $(xR^{-1}y \rightarrow yR^{-1}x)$

So we'll assume this arrow exists



And prove that this arrow exists too



Goal:

R⁻¹ is symmetric $\forall x \in A. \forall y \in A.$ $(xR^{-1}y \rightarrow yR^{-1}x)$

Talk with your neighbors and see if you can work out how to do this.





And prove that this arrow exists too

Remember that you can apply this definition $x \mathbf{R}^{-1} y ext{ if } y \mathbf{R} x$

in the other direction too



Goal:

 R^{-1} is symmetric $\forall x \in A. \forall y \in A.$ $(xR^{-1}y \rightarrow yR^{-1}x)$ $xR^{-1}y$ if yRx

Since there's a blue arrow from x to y, we can draw a red arrow going the other way, from y to x





Goal:

R⁻¹ is symmetric $\forall x \in A. \forall y \in A.$ $(xR^{-1}y \rightarrow yR^{-1}x)$

Since R is symmetric, we can use this arrow to draw a red arrow from x to y




Goal:

R⁻¹ is symmetric $\forall x \in A. \forall y \in A.$ $(xR^{-1}y \rightarrow yR^{-1}x)$



R

x**R**⁻¹y if y**R**x

Finally, since we have a red arrow from x to y,

we can apply the definition of R⁻¹ again to conclude that there's a blue arrow from y to x

Goal:

R⁻¹ is transitive $\forall x \in A. \forall y \in A. \forall z \in A.$ $(xR^{-1}y \land yR^{-1}z \rightarrow xR^{-1}z)$

We want to say that if we can get from x to z through an intermediary y, then we can draw an arrow straight from x to z





Goal:

R⁻¹ is transitive $\forall x \in A. \forall y \in A. \forall z \in A.$ $(xR^{-1}y \land yR^{-1}z \rightarrow xR^{-1}z)$

So we'll assume that these arrows exist



And prove that this arrow exists too

R

Goal:

R⁻¹ is transitive $\forall x \in A. \forall y \in A. \forall z \in A.$ $(xR^{-1}y \land yR^{-1}z \rightarrow xR^{-1}z)$

We can apply the definition of R⁻¹ to draw these two red arrows





Goal:

R⁻¹ is transitive $\forall x \in A. \forall y \in A. \forall z \in A.$ $(xR^{-1}y \land yR^{-1}z \rightarrow xR^{-1}z)$



Then since R is transitive, we can draw this arrow



Goal:

R⁻¹ is transitive $\forall x \in A. \forall y \in A. \forall z \in A.$ $(xR^{-1}y \land yR^{-1}z \rightarrow xR^{-1}z)$



Applying the definition of R⁻¹ again gives us the arrow we desire!



R⁻¹ is reflexive



- 1 x**R**x (**R** is reflexive)
- 2 $x \mathbf{R}^{-1} x$ (definition of \mathbf{R}^{-1})

R⁻¹ is reflexive **R**⁻¹ is symmetric



1 x**R**x (**R** is reflexive)

2 xR-1x
 (definition of R-1)



- 1 x**R**-1y (by assumption)
- 2 yRx (definition of R⁻¹)
- 3 x**R**y (**R** is symmetric)
- 4 y^{R-1}x (definition of R⁻¹)

R⁻¹ is reflexive



1 x**R**x (**R** is reflexive)

 $\begin{array}{c} 2 \quad x \mathbf{R}^{-1} x \\ \text{(definition of } \mathbf{R}^{-1}) \end{array}$

R⁻¹ is symmetric



- 1 x**R⁻¹y** (by assumption)
- 2 yRx
 (definition of R⁻¹)
- 3 xRy
 (R is symmetric)
- yR⁻¹x (definition of R⁻¹)



- 1 x**R**-1y and y**R**-1z (by assumption)
- 2 yRx and zRy (definition of R⁻¹)
- 3 zRx
 (R is transitive)
- 4 x^{R-1}z (definition of R⁻¹)

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To prove that R^{-1} is symmetric, consider any $x, y \in A$ where $xR^{-1}y$. We need to prove that $yR^{-1}x$ holds. Since $xR^{-1}y$ holds, we know that yRx holds. Since R is symmetric and yRx is true we know that xRy is true. Therefore by definition of R^{-1} , we know that $yR^{-1}x$ holds.

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Finally, to prove that R^{-1} is transitive, consider any $x, y, z \in A$ where $xR^{-1}y$ and $yR^{-1}z$. We need to prove that $xR^{-1}z$. Since $xR^{-1}y$ and $yR^{-1}z$, we know that yRx and that zRy. Since zRy and yRx, by transitivity of R we see that zRx. Thus by definition of R^{-1} , we know that $xR^{-1}z$ holds, as required.

Next Time

• Functions

- How do we model transformations in a mathematical sense?
- **Domains and Codomains**
 - Type theory meets mathematics!
- Injections, Surjections, and Bijections
 - Three special classes of functions.

Thought for the weekend:

Use your intuition to ask questions, not to answer them